

**Dept. of Government Operations Division of Finance
ICQ Submission Schedule for Agencies**

ICQ Name		DUE DATES								
Submit to GovOps Division of Finance Internal Control Team (Note B)										
(Note A)		3/31/21	6/30/21	12/31/21	3/31/22	6/30/22	12/31/22	3/31/23	6/30/23	12/31/23
1	Cash Disbursements				X					
2	Cash Receipts					X				
3	Capital Assets							X		
4	Payroll & Personnel								X	
5	Receivables - FINET System									X
6	Receivables - Non-FINET Systems									
7	IS&T - Security									
8	Control Environment									
9	IS&T - Development, Implementation, & Change									
10	Systems Interfaces with FINET	X								
11	Systems Interfaces with PTS		X							
12	IS&T - Financial Systems & IT Group			X						
13	Financial Reporting - Financial Statement Preparers ONLY						X			
Not Required to submit to GovOps Division of Finance (Note B)										
14	Investments (Note C)			X						
15	Federal Grants - Control Activities					X				
16	Federal Grants - Information & Communication						X			
17	Risk Assessment								X	
18	Federal Grants - Control Environment									X
19	Federal Grants - Risk Assessment & Fraud		X							

Legend:

X ICQ is due this quarter.

ICQs "Not Required to be Submitted" are not due this quarter

Notes:

- A** Agencies must use the most recent version of the ICQs from the GovOps Division of Finance (Finance) website.
- B** Items 1 and 2 are required to be submitted to Finance every two years. Items 3 through 13 are required to be submitted to Finance every 5 years. Items 14 through 19 are required to be prepared every 3 years and retained for possible review by Finance upon request.
- C** The Investments ICQ is applicable if the agency manages its own investments (e.g. Treasurer's Office and Board of Regents).